

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	2700-101
First Inventor	Phillip G. ROREX
Title SPEECH RECOGNITION FOR RECOGNIZING SPEAKER INDEPENDENT, CONTINUOUS SPEECH	

03/22/01

☒ **Assignee Information:** NURV Center Technologies, Inc.
Beverly Hills, California

APPLICATION ELEMENTS	ADDRESS TO:
<p>See MPEP chapter 600 concerning utility patent application contents.</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. 3. <input checked="" type="checkbox"/> Specification Total pages [60] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross references to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claims - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets) [12] 5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [2] <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> [Note Box 5 below] <ol style="list-style-type: none"> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, 6. <input type="checkbox"/> Application Data Sheet. 	<p>Assistant Commissioner of Patents Box Patent Application Washington, D.C. 20231</p> <ol style="list-style-type: none"> 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program 8. Nucleotide and/or Amino Acid Sequence Submission <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ol style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies <p style="text-align: center;">ACCOMPANYING APPLICATION PARTS</p> <ol style="list-style-type: none"> 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <ul style="list-style-type: none"> <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement /PTO 1449 <ul style="list-style-type: none"> <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s). 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). 17. <input type="checkbox"/> Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

Address	Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.				
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Vincent M. DeLuca, Reg. No. 32,408				
Signature	Vincent M DeLuca			Date	3/22/01

FEE TRANSMITTAL for FY 2001 (Small Entity)		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Phillip G. ROREX
		Examiner Name	
Group Art Unit			
Total Amount of Payment	(\$903	Attorney Docket Number	2700-101

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.
- ☒ Payment Enclosed:
- ☒ Check
- ☐ Credit Card

FEE CALCULATION
1. FILING FEE

Fee Code	Fee \$	Fee Description	Fee Paid
201	355	Utility filing fee	[355]
206	160	Design Filing Fee	[]
207	245	Plant Filing Fee	[]
208	355	Reissue Filing Fee	[]
214	75	Provisional Filing Fee	[]

SUBTOTAL \$ 355

2. CLAIMS

	Extra Claims	Fee	Fee Paid
Total Claims [72] - 20** = [52] x		\$ 9 =	[468]
Independent Claims [4] - 3** = [1] x		40 =	[40]
Multiple Dependent Claims +		135 =	[]

**or number previously paid, if greater;

SUBTOTAL \$508

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
205	65	Surcharge - late filing fee or oath	[]
227	25	Surcharge - late provisional filing fee or cover sheet	[]
139	130	Non-English specification	[]
147	2,520	For filing a request for reexamination	[]
112	920	Requesting publication of SIR prior to Examiner action	[]
113	1,840*	Requesting publication of SIR after Examiner action	[]
215	55	Extension for reply within first month	[]
216	195	Extension for reply within second month	[]
217	445	Extension for reply within third month	[]
218	695	Extension for reply within fourth month	[]
228	945	Extension for reply within fifth month	[]
219	155	Notice of Appeal	[]
220	155	Filing a brief in support of an appeal	[]
221	135	Request for Oral Hearing	[]
138	1,510	Petition to institute a public use proceeding	[]
240	55	Petition to revive -unavoidable	[]
241	640	Petition to revive - unintentional	[]
242	620	Utility issue fee (or reissue)	[]
243	220	Design issue fee	[]
244	300	Plant issue fee	[]
122	130	Petitions to the Commissioner	[]
123	50	Processing fee under 37 CFR 1.17(q)	[]
126	180	Submission of Information Disclosure Statement	[]
581	40	Recording each patent assignment per property (times number of properties)	[40]
246	355	Filing a submission after final rejection (37 CFR .129(a))	[]
249	355	For each additional invention to be examined (37 CFR 1.129(b))	[]
279	355	Request for Continued Examination (RCE)	[]
169	900	Request for expedited examination of a design application	[]
195	300	Publication fee for early, voluntary, or normal publication	[]
196	300	Publication fee for republication	[]
089	200	Filing an application for patent term adjustment	[]
090	400	Request for reinstatement of term reduced	[]
		Other fee (specify)	[]

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$40

SUBMITTED BY		Complete (if applicable)	
NAME AND REG. NUMBER	Vincent M. DeLuca, Reg. No. 32,408		
SIGNATURE	<i>Vincent M De Luca</i>	DATE	3/22/01
		DEPOSIT ACCOUNT USER ID	